

01-22-02

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UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Pocket No. : 47731/DMC/S965
 Inventor(s) : Bardia PEZESHKI
 Title : TUNABLE OPTICAL DEVICE USING A SCANNING MEMS MIRROR
 Express Mail Label No. : EL 818385626 US

ADDRESS TO: Assistant Commissioner for Patents
 Box Patent Application
 Washington, D.C. 20231

Date: January 16, 2002

1. ☒ **FEE TRANSMITTAL FORM** *(Submit an original, and a duplicate for fee processing).*

2. **IF A CONTINUING APPLICATION**

_____ This application is a _____ of patent application No. _____

Prior application information: Examiner ; Group Art Unit:

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application Nos. 60/262,445, filed January 16, 2001; and 60/263,591, filed January 22, 2001.

3. **APPLICATION COMPRISED OF**

Specification

20 Specification, claims and Abstract (total pages)

Drawings

7 Sheets of formal drawing(s) (FIGS. 1 to 7)

Declaration and Power of Attorney

_____ Newly executed

☒ Unexecuted declaration

_____ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. _____ **Microfiche Computer Program** *(Appendix)*

5. _____ **Nucleotide and/or Amino Acid Sequence Submission** *(if applicable, all necessary)*

_____ Computer Readable Copy

_____ Paper Copy (identical to computer copy)

_____ Statement verifying identity of above copies

6. **APPLICANT(S) STATUS UNDER 37 CFR § 1.27**

_____ Applicant(s) and any others associated with it/them under § 1.27(a) are a SMALL ENTITY

UTILITY PATENT APPLICATION TRANSMITTAL
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Docket No.: 47731/DMC/S965

7. ALSO ENCLOSED ARE

- ☐ Preliminary Amendment
 - ☐ Includes "Cross-Reference to Related Applications"
- ☐ A Petition for Extension of Time for the parent application and the required fee are enclosed
- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
 - ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other


8. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068
Customer Number: 23363

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Daniel M. Cavanagh
Reg. No. 41,661
626/795-9900

DMC/rmw

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: January 16, 2002

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Inventor(s) : Bardia PEZESKI
Title : TUNABLE OPTICAL DEVICE USING A SCANNING
MEMS MIRROR

Duplicate

FEE DETERMINATION


CLAIMS AS FILED					
	NUMBER FILED	NUMBER EXTRA	SMALL ENTITY RATE	LARGE ENTITY RATE	FEE
TOTAL CLAIMS	30 - 20	10	x \$9.00	10 x \$18.00	\$180.00
INDEPENDENT CLAIMS	4 - 3	1	x \$42.00	1 x \$84.00	\$84.00
MULTIPLE-DEPENDENT CLAIMS FEE			\$140.00	\$280.00	
BASIC FEE			\$370.00	\$740.00	\$740.00
TOTAL FILING FEE					\$1,004.00
List Independent Claims: 1, 19, 29, 30					

METHOD OF PAYMENT

- ☒ Payment Enclosed: Check No. 003290 for \$1,004.00.
- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 
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